

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS rinia 22313-1450

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING OR 371 (c) DATE

10/809,280

03/25/2004

James Gharib

80100.071US1

30328 NU VASIVE, INC. 10065 OLD GROVE ROAD SAN DIEGO, CA 92131

CONFIRMATION NO. 6044 FORMALITIES LETTER OC000000012909142*

Date Mailed: 06/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION.

FILED UNDER 37 CFR 1.53(b)

....Filing-Date-Granted-

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filling fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 23.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny. and durable (see 37 CFR 1.84(e)). See Figure(s) 20, 21, 24, 27.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$450 for a Small Entity



- \$65 Late oath or declaration Surcharge.

• \$385 Statutory basic filing fee.

Mail Stop Missing Parts Replies should be mailed to:

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

17 2004 11:51HM

Initial-Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

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ATTORNEY DOCKET NUMBER

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03/25/2004

James Gharib

071US1

30328 NU VASIVE, INC. 10065 OLD GROVE ROAD SAN DIEGO, CA 92131 CONFIRMATION NO. 6044
FORMALITIES LETTER

OC000000013753226*

Date Mailed: 09/08/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 08/16/2004 to the Notice to File Missing Parts (Notice) mailed 06/09/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

 The signature of the following inventor(s) is missing from the oath or declaration: Jeffrey J. Blewett

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

25 States			U.S.	Patent and T	PTO/SB/21 (09-0 Approved for use through 07/31/2006. OMB 0651-003 Frademark Office; U.S. DEPARTMENT OF COMMERC	
- 3	perwork Reduction Act of 1995	no persons	Application Number	10/809,28	formation unless it displays a valid OMB control numbers	
EME TR	RANSMITTAL		Filing Date	March 25,	. 2004	
	FORM		First Named Inventor		James Gharib	
	I OINII		Art Unit	3739		
			Examiner Name	N/A		
(to be used for	all correspondence after initial	filing)	Attorney Docket Number			
Total Number of	f Pages in This Submission	10 🕏	Allomey Docker Humber	071US1		
		ENC	LOSURES (Check all	l that apply	у)	
Amendme Aff Aff Aff Extension Express A Informatio Certified C Document Reply to M Incomplete	fiter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence of C	Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): 1. Executed Declaration 2. Return Postcard	
un	nder 37 CFR 1.52 or 1.53	TURE (OF APPLICANT, ATTO	PNFY. (OP AGENT	
Firm Name		1	71 Fill Block try Free -	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Signature	NuVasive, Inc				·	
Printed name	Conneller					
Date	Jonathan Spangler December 9, 2004			Reg. No.	40,182	
	000000000000000000000000000000000000000				,	
	C	ERTIFIC	CATE OF TRANSMISS	SION/MA	ILING	
I hereby certify the sufficient postage the date shown be Signature	e as first class mail in an en	peing facsi ivelope ad	mile transmitted to the USP1 dressed to: Commissioner for	TO or depos or Patents, i	sited with the United States Postal Service with P.O. Box 1450, Alexandria, VA 22313-1450 or	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jonathan Spangle

Typed or printed name

Date

December 9, 2004



IN THE UNITED STATES PATENT AND TRADEMARK

In re: Patent Application of			
James Gharib, et al.)) Group Art Unit:	3739	
App. Ser. No. 10/809,280))) Examiner:	To be determined	
Filed: March 25, 2004) }		
For: SYSTEM AND METHODS FOR PERFORMING PROCEDURES AND ASSESSMENTS)))))		
I hereby certify that this correspondence is being deposite in an envelope addressed to: Mail Stop Missing Parts, Co 22313-1450 on December 9, 2004: Signature:			

RESPONSE TO NOTICE OF INCOMPLETE REPLY/ NOTICE TO FILE MISSING PARTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to a Notice of Incomplete Reply mailed on September 8, 2004 (concerning a response to a Notice to File Missing Parts mailed on June 9, 2004), having a two-month period for response that expired August 9, 2004, the applicant respectfully submits the following:

PATENT

Application Serial No. 10/809,280

Attorney Ref. No. 071US1

(A) A copy of the Notice of Incomplete Reply (1 page);

(B) A copy of the original Notice to File Missing Parts (2 pages);

(C) A signed declaration by the legal representative for deceased inventor Jeffrey Blewett

as required by the Notice of Incomplete Reply/Notice to File Missing Parts (2 pages);

and

(D) A petition for extension of time for 4 months (1 page and 1 copy).

Applicant hereby authorizes a payment of \$65.00 fee for the late filed declaration and

\$795.00 fee for the extension of time, for a total of \$860.00. No other fees are deemed necessary

at this time. However, in the event that there are any additional fees to be charged or payments

to be credited, the applicant hereby request that any charges or credits be made to Deposit

Account No.: 50-2040 for Customer No.: 30,328. In the event that there are any questions

concerning the remarks above or the application in general, the Examiner is cordially invited to

telephone the undersigned attorney so that prosecution may be expedited.

Respectfully submitted,

NUVASIVE, INC

Jonathan Spangler, Esq.

Registration No. 40,182

10065 Old Grove Road San Diego, CA 92131

Tel.: (858) 243-0029

December 9, 2004

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